

Investor Depository Meet (IDM) organized by NSDL at Navsari, Gujarat in April 2014



Take
a look...

Highlights during the month

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Topic of Interest

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Performance Summary



Investor Accounts

13,077,117



Participants

278



Participant Service Centres

14,437



Demat Custody

₹ 89,84,680 Cr.



Settlement

₹ 1,38,137 Cr.



Companies available for demat

12,396



Instruments

- ◆ Debentures/Bonds 9,598
- ◆ Securitised Instruments 1,114
- ◆ Commercial Paper 1,291
- ◆ Certificates of Deposit 1,564

Did you know?

In a 10 Rupee note, the letters 'RBI' can be viewed with the help of a magnifying glass in the zone between Mahatma Gandhi portrait and the vertical band.

Source: <http://www.paisaboltahai.rbi.org.in/10.htm>



Quote of the Month :

The art is not in saving money, but in making it grow.

- Proverb



News Articles

Launch of FAST - Freezing of demat Account Securities for Trade - Facility for Clients to freeze securities in their demat accounts in favour of Clearing Member through SPEED-e facility

With effect from May 5, 2014, NSDL has launched a facility named FAST (Freezing of demat Account Securities for Trade) which enables clients to freeze securities in their demat accounts in favour of Clearing Member (CM) through SPEED-e facility for the trade orders submitted on NOW (NEAT on Web) facility. For this purpose, SPEED-e facility has been integrated with NOW facility offered by NSDL to its Participants.

In view of this, SPICE facility has been further enhanced to enable CMs to debit the securities frozen in Client's beneficiary accounts (who have given POA to CM) and credit their CM Pool Account. This facility is named as SPICE - FAST.

The salient features of FAST facility are as under:

- Client will approach its CM for availing FAST facility.
- Client will log-in to NOW facility using the User ID and password provided by CM and submit the "sell" order on NOW facility.
- User will be re-directed to SPEED-e website (<https://eservices.nsd.com/>) where user will log-in to SPEED-e facility to execute freezing of securities in its demat account based on the details mentioned in "sell" order. The securities will be frozen (in favour of CM).
- Subsequent to the execution of trade order, CMs will debit the frozen securities through SPICE - FAST facility so as to transfer frozen securities from Client's account to the CM Pool Account.

(Ref: Circular No. NSDL/POLICY/2014/0054 dated April 30, 2014)

Daily Price files to include ISINs which are in 'Blocked Due to ACA' status

NSDL has provided a facility to Participants to download the daily price files (Price File & Combined File) from the intranet site of NSDL (i.e. <http://i-Assist/>) which contains the rates/NAVs of securities as provided by NSE/ BSE/ Issuer/ AMFI. These price files will now include last available price/NAV of securities which are in "Blocked Due to ACA" status.

(Ref: Circular No. NSDL/POLICY/2014/0048 dated April 16, 2014)

Operations from Disaster Recovery Site (DRS)

NSDL shifts its operations to its DRS at regular intervals to check its readiness and to demonstrate to the market about NSDL's capability to conduct the entire operations from the DRS. NSDL shifted its live operations intra-day to the backup site on April 11, 2014. The live operations continued at DRS till EOD of April 17, 2014 and thereafter the operations were reverted to the primary site.

(Ref: Circular No. NSDL/POLICY/2014/0045 dated April 10, 2014)

Closure of 'Responce Securities Private Limited' Participant Operations

NSDL has informed all its Participants that Responce Securities Private Limited (DP ID IN303905), Participant of NSDL, has informed NSDL about its decision to discontinue their depository operations.

(Ref: Circular No. NSDL/PI/2014/0875 dated April 1, 2014)

Name Change of Participant

Old Name	New Name	DP ID
JRG Securities Limited	Inditrade Capital Limited	IN301895

Subscription to SPEED-e

During April 2014, three more Participants have subscribed to the *SPEED-e* facility viz.,

- India Cements Investment Services Limited (DP ID IN303340)
- Ortem Securities Limited (DP ID IN300693)
- Tracom Stock Brokers Private Limited (DP ID IN302332)

Clients of the above mentioned Participants can now avail the facility of submitting various instructions through *SPEED-e* facility.

This takes the total number of Participants who have subscribed to *SPEED-e* to 137.

Training Programmes for Participants:➤ **CPE Training Programme for Participants**

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In April 2014, NSDL conducted 14 such training programmes at various locations viz., Ahmedabad, Bangalore, Bhopal, Chennai, Kochi, Kolkata, Lucknow, Ludhiana, Mumbai, New Delhi and Pune which were attended by 329 officials of Participants.

➤ **KYC Training Programme for Participants**

In April 2014, NSDL conducted eight 'KYC requirements for Account Opening' training programme at Kolkata, Mumbai and New Delhi which were attended by 215 officials of Participants. The programme focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

➤ **DP Training Programme for Participants**

NSDL conducts DP training programme on 'NSDL Depository Operations' for Participants which focuses on in-depth day-to-day operations of depository KYC requirements viz., various operations & system modules such as demat/remat, settlement, pledge, mutual funds, transmission, Compliance and inspection requirements of NSDL and various e-Services facilities of NSDL viz., IDeAS, *SPEED-e* etc. In April 2014, NSDL conducted two training programmes in Mumbai which were attended by 15 officials of Participants.

➤ **System Administrator's Training Programme (SATP) for Participants**

In order to disseminate knowledge about various functionalities and information about NSDL's depository system such as System architecture, Network connectivity, tools and utilities, adding value to business by system administrator, frequently asked questions at Help Desk etc., NSDL conducted a training programmes in Mumbai which was attended by 43 officials of Participants.

Investor Education initiatives undertaken by NSDL

- **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During April 2014, NSDL organised six such IDMs at Madhopur and Dausa in Rajasthan, Bilimora and Navsari in Gujarat and in Kangra and Dharamshala in Himachal Pradesh which were attended by around 140 investors.

List of Companies that have changed Names (During April 2014)

Sr. No.	Old Name	New Name
1	Devidayal (Sales) Limited	Arysta LifeScience India Limited
2	DB Hotels (India) Private Limited	Heaven Star Hotels (Delhi) Private Limited
3	Manipal Servicecorp Facility Management Private Limited	Manipal Integrated Services Private Limited
4	IL&FS Infrastructure Development Corporation Limited	IIDC Limited
5	Shri Ambika Ispat (India) Private Limited	Keshav Sponge & Energy Private Limited
6	Polypro Fibrils (India) Limited	Arnav Corporation Limited
7	Croitre Industries Limited	Mahavir Industries Limited
8	GMR Tambaram-Tindivanam Expressways Private Limited	GMR Tambaram Tindivanam Expressways Limited
9	GMR Tuni-Anakapalli Expressways Private Limited	GMR Tuni-Anakapalli Expressways Limited
10	Shimoga Technologies Limited	Smiths & Founders (India) Limited
11	Welspun Renewables Energy Limited	Welspun Renewables Energy Private Limited
12	Essar Projects Limited	Imperial Procurement Services Limited
13	Citifinancial Consumer Finance (India) Limited	Citicorp Finance (India) Limited
14	MWF Logistics Private Limited	MWF Logistics Limited
15	Horizon Infrastructure Limited	SKIL Infrastructure Limited
16	Roselabs Industries Limited	Kriptol Industries Limited
17	IIFL Trustee Services Limited	IIFL Investment Adviser and Trustee Services Limited
18	Kwality Dairy (India) Limited	Kwality Limited
19	Brescon Advisors & Holdings Limited	IM+ Capitals Limited
20	Shri Nataraj Ceramic and Chemical Industries Limited	Dalmia Refractories Limited
21	B I (India) Private Limited	AATCO Foods India Private Limited
22	Embassy Property Developments Limited	Embassy Property Developments Private Limited
23	Era E-Zone (India) Limited	Era Buildsys Limited

Corporate Action Statistics

Sr. No.	Description	During April 2014		For F.Y. 2014 - 15 (Upto April 2014)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	162,103	4,693,193,899	162,103	4,693,193,899
2	Preference Shares	54	733,197,821	54	733,197,821
3	Bonds	13,397	16,929,653	13,397	16,929,653
4	Commercial Papers	548	1,599,750	548	1,599,750
5	Certificates of Deposit	188	3,131,270	188	3,131,270
6	Securitised Instruments	155	5,788,042,935	155	5,788,042,935
7	Mutual Fund Units	50,723	919,218,306	50,723	919,218,306
8	Warrants	19	67,054,000	19	67,054,000
9	Mutual Fund Units (Trase)	14,434	132,867,422	14,434	132,867,422
	Total	241,621	12,355,235,056	241,621	12,355,235,056

List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakhs)
Apr-12	120.87
Apr-13	127.28
Apr-14	130.77

PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Apr-12	283	14,040	1,551
Apr-13	282	14,668	1,585
Apr-14	278	14,437	1,568

DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Apr-12	58,336	71,23,861
Apr-13	69,262	78,34,055
Apr-14	80,463	89,84,680

SETTLEMENT (Listed Equity Shares)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Apr-12	514	88,512
Apr-13	506	99,447
Apr-14	663	1,38,137

(Single Side)

EQUITY

Month - Year	No. of Companies Operational
Apr-12	9,814
Apr-13	10,920
Apr-14	12,396

Companies

DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-12	606	7,790	10,42,322
Apr-13	751	9,035	12,81,874
Apr-14	902	9,598	14,62,068

SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-12	534	792	36,319
Apr-13	541	819	45,335
Apr-14	767	1,114	88,537

COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-12	225	1,481	1,33,530
Apr-13	222	1,386	1,62,189
Apr-14	193	1,291	1,65,829

CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Apr-12	45	1,466	4,74,146
Apr-13	43	1,305	4,04,504
Apr-14	41	1,564	4,02,265

Securities admitted for Dematerialisation during April 2014

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	Adlabs Entertainment Limited	INE172N01012	37	New Habitat Housing Finance And Development Limited	INE625Q01012
2	Agroh Ratlam Tollways Private Limited	INE549Q01014	38	New Saraswati House (India) Private Limited	INE459Q01016
3	Allied Properties & Developers Private Limited	INE489Q01013	39	Nexus Commodities and Technologies Limited	INE370Q01015
4	Amulya General Trading and Agencies Limited	INE593Q01012	40	Okay Plus International Limited	INE214Q01015
5	Appstix Technologies Private Limited	INE565Q01010	41	Orange Mamatkhedha Wind Private Limited	INE495Q01010
6	Ashoka Industries Limited	INE597Q01013	42	Ozone Developers Bangalore Private Limited	INE634Q01014
7	BCIL Zed Ria Properties Private Limited	INE439Q01018	43	Pama Properties Private Limited	INE567Q01016
8	Betul Wind Farms Limited	INE442Q01012	44	PE Data Centre Resources Private Limited	INE563Q01015
9	Bhanderi Infracon Limited	INE336Q01016	45	Prajakta Estates Private Limited	INE619Q01015
10	Canyon Estates Private Limited	INE479Q01014	46	Pune Buildtech Private Limited	INE636Q01019
11	CGI Projects Private Limited	INE621Q01011	47	Ramanand Core Investment Company Private Limited	INE475Q01012
12	Dekson Castings Limited	INE465Q01013	48	Rana Iron and Power Limited	INE588Q01012
13	Dhampur Alco-Chem Limited	INE481Q01010	49	Reliance Gas Pipelines Limited	INE614Q01016
14	Embassy Office Ventures Private Limited	INE589Q01010	50	Salem Erode Investments Ltd	INE894E01028
15	Encash Entertainment Limited	INE552Q01018	51	Select Equity Advisory Services Private Limited	INE476Q01010
16	G2 Trade Centre Private Limited	INE590Q01018	52	Select Holiday Resorts Private Limited	INE478Q01016
17	Global Health Private Limited	INE474Q01015	53	Select World Tours (India) Private Limited	INE477Q01018
18	Grameen Financial Services Private Limited	INE741K01010	54	SEP Grace Components Private Limited	INE564Q01013
19	Guardian Lifecare Private Limited	INE570Q01010	55	Sharp Chucks And Machines Private Limited	INE460Q01014
20	Guardian Nutrition & Health Supplements Private Limited	INE569Q01012	56	Sheth Creators And Constructors Private Limited	INE554Q01014
21	GVR Ashoka Chennai Orr Limited	INE595Q01017	57	Shree Shakambhari Ventures Limited	INE437Q01012
22	Hindustan Powerprojects Private Limited	INE421M01015	58	Shri Shyam ISPAT (India) Private Limited	INE521Q01013
23	Island Star Mall Developers Private Limited	INE596Q01015	59	Shyamkamal Investments Limited	INE203N01015
24	JLA Infraville Shoppers Limited	INE401Q01018	60	Sidbi Venture Capital Limited	INE473Q01017
25	L&T-Valdel Engineering Limited	INE440Q01016	61	Skyron Eco Ventures Pvt Ltd	INE548Q01016
26	Lanco Hills Technology Park Private Limited	INE586Q01016	62	Solapur Yedeshi Tollway Private Limited	INE441Q01014
27	Leela Soft Private Limited	INE520Q01015	63	South East U. P. Power Transmission Company Limited	INE553Q01016
28	Manipal Hospitals (Dwarka) Pvt Ltd	INE624Q01015	64	Sunshine Solpower Generation Ltd	INE637Q01017
29	Manipal Hospitals (Whitefield) Pvt Ltd	INE623Q01017	65	Sylph Education Solutions Limited	INE622Q01019
30	Mayur Development and Leasings Limited	INE347Q01013	66	Teestavalley Power Transmission Limited	INE598Q01011
31	Moser Baer Engineering & Constructions Limited	INE587Q01014	67	Tinnevelly Tuticorin Investments Limited	INE902E01029
32	Multicube Distributors Limited	INE550Q01012	68	Vadivarhe Speciality Chemicals Limited	INE551Q01010
33	Ncube Planning and Design Private Limited	INE633Q01016	69	Valmark Developers Private Limited	INE555Q01011
34	Nelson Planning and Design Private Limited	INE638Q01015	70	Vilora Consulting Private Limited	INE566Q01018
35	Network Industries Limited	INE527Q01010	71	Welldone Software Consultancy Private Limited	INE615Q01013
36	Networth Softtech Limited	INE416Q01016	72	Yazdani Steel & Power Limited	INE496Q01018

TOPIC OF INTEREST

Know more about e-Voting system of NSDL (For Shareholders)

Q.1 I know about voting by 'Postal ballot'. What is e-Voting?

Ans. e-Voting is voting through an electronic system where shareholders can vote on resolutions of companies requiring voting through Postal Ballot as per extant rules and regulations without having the necessity of sending their votes through post. Ministry of Corporate Affairs has authorised NSDL for setting up an electronic platform to facilitate shareholders to cast vote in electronic form. Accordingly, NSDL has set-up an electronic infrastructure to facilitate shareholders to cast votes in electronic form through internet.

Q.2 What is the legal framework permitting e-Voting?

Ans. The Companies (Passing of the Resolution by Postal Ballot) Rules, 2001, has defined the "Postal Ballot as voting by shareholders by postal or electronic mode instead of voting personally by presenting for transacting businesses in a general meeting of the company". Thus, Section 192A of the Companies Act, 1956 read with Companies (Passing of the Resolution by Postal Ballot) Rules, 2001 recognizes voting by electronic mode for postal ballot. The Postal Ballot Rules, 2001 were Superseded by Postal Ballot Rules, 2011, which prescribed the appropriate mechanism for e-Voting.

Q.3 How will I benefit from the e-Voting system?

Ans. (i) Ease of operation. With User ID and password, you can login to e-Voting system (<https://www.evoting.nsdl.com>) of NSDL through internet and cast your vote from your residence, office etc.
 (ii) Sufficient time to vote till the end of voting cycle.
 (iii) Elimination of postal ballots getting lost in-transit.
 (iv) Paperless mode of casting vote.

Q.4 Does the e-Voting system of NSDL facilitate e-Voting for only demat account holders of NSDL?

Ans. The e-Voting system of NSDL facilitates voting from all shareholders i.e., shareholders holding shares in physical and demat mode.

Q.5 How do I register for e-Voting facility?

Ans. There is no pre-registration related activity required at your end. The registration details viz., User ID and password will be sent by the Issuer / R&T Agent /NSDL in the following mode:

(I) In case email address of the Sole / First Holder is available with the Issuer/R&T Agent:

- (i) NSDL will generate User ID & password and send the same at the email address provided by issuer/ R & T Agent.
- (ii) Using the User ID and password, you will login to the e-Voting system (<https://www.evoting.nsdl.com>) of NSDL.
- (iii) NSDL has now provided a facility for shareholders to change their password directly by login to the e-Voting system of NSDL.

(II) Others (where email address of the Sole / First Holder is not available with the Issuer/R&T Agent)

- (i) NSDL will generate User ID and password and provide it to the Issuer/R&T Agent.
- (ii) The Issuer/R&T Agent will dispatch the User ID and password in a PIN mailer at the address of the Sole/ First Holder.
- (iii) Using the User ID and password, you will login to e-Voting system (<https://www.evoting.nsdl.com>) of NSDL.

to be continued in next issue...

NSDL Calendar of Events

COMPLIANCE REQUIREMENT

Sr.No.	Particulars	Reference	Due date	Mode of sending
1.	Internal/ Concurrent Audit Report (October - March)	(i) Circular No.: NSDL/POLICY/2013/0094 dated July 24, 2013, (ii) Circular No. NSDL/POLICY/2013/0097 dated July 30, 2013 (iii) Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.	May 15th every year	Digitally signed report by email at nsdl-bp-inspection@nsdl.co.in . Alternatively, by hard copy to Business Partner Inspection Department.
2.	Compliance Certificate (January - June)	(i) Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006. (ii) Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010.	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in . Alternatively, by hard copy to Business Partner Inspection Department.

'KNOWLEDGE WINS' Contest

What is the URL for login to e-Voting system of NSDL?

Send your replies providing your contact details
(Name, address and contact number) with the subject
'Knowledge Wins Contest - May 2014' to info@nsdl.co.in

Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these Terms or which tampers with the entry process
- NSDL reserved the right to discontinue the contest at any given point of time without prior information
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

Lucky 25
Winners will
Win Free
Goodies

NSDL Offices

Head Office	Branch Offices	
Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	Ahmedabad Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	Chennai 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	Kolkata 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	New Delhi 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

Investor Relationship Cell

Officer-In-Charge,
National Securities Depository Ltd.
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,
Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel: (022) 24994200 / 40904200
Fax: (022) 24976351
Email: relations@nsdl.co.in

NSDL Certification Programme

Officer-In-Charge,
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